

# Genetic Engineering for Disease Resistance in Plants: Recent Progress and Future Perspectives<sup>1</sup>[OPEN]

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## BACKGROUND

Modern agriculture must provide sufficient nutrients to feed the world's growing population, which is projected to increase from 7.3 billion in 2015 to at least 9.8 billion by 2050. This goal is made even more challenging because of crop loss to diseases. Bacterial and fungal pathogens reduce crop yields by about 15% and viruses reduce yields by 3% (Oerke and Dehne, 2004). For some crops, such as potatoes, the loss caused by microbial infection is estimated to be as high as 30% (Oerke and Dehne, 2004). As an alternative to the application of chemical agents, researchers are altering the genetic composition of plants to enhance resistance to microbial infections.

Conventional breeding plays an essential role in crop improvement but usually entails growing and examining large populations of crops over multiple generations, a lengthy and labor-intensive process. Genetic engineering, which refers to the direct alteration of an organism's genetic material using biotechnology (Christou, 2013), possesses several advantages compared with conventional breeding. First, it enables the introduction, removal, modification, or fine-tuning of specific genes of interest with minimal undesired changes to the rest of the crop genome. As a result, crops exhibiting desired agronomic traits can be obtained in fewer generations compared with conventional breeding. Second, genetic engineering allows for interchange of genetic material across species. Thus, the raw genetic materials that can be exploited for this process is not restricted to the genes available within the species. Third, plant transformation during genetic engineering allows the introduction of new genes into vegetatively propagated crops such as banana (*Musa* sp.), cassava

(*Manihot esculenta*), and potato (*Solanum tuberosum*). These features make genetic engineering a powerful tool for enhancing resistance against plant pathogens.

Most cases of plant genetic engineering rely on conventional transgenic approaches or the more recent genome-editing technologies. In conventional transgenic methods, genes that encode desired agronomic traits are inserted into the genome at random locations through plant transformation (Lorence and Verpoorte, 2004). These methods typically result in varieties containing foreign DNA. In contrast, genome editing allows changes to the endogenous plant DNA, such as deletions, insertions, and replacements of DNA of various lengths at designated targets (Barrangou and Doudna, 2016). Depending on the type of edits introduced, the product may or may not contain foreign DNA. In some areas of the world, including the United States (USDA, 2018), Argentina (Whelan and Lema, 2015), and Brazil (CTNBio, Brazil, 2018), genome-edited plants that do not contain foreign DNA are not subject to the additional regulatory measures applied to transgenic plants (Orozco, 2018). Regardless of differences in regulatory policies, both conventional transgenic techniques and genome editing continue to be powerful tools for crop improvement.

Plants have evolved multilayered defense mechanisms against microbial pathogens (Chisholm et al., 2006; Jones and Dangl, 2006). For example, preformed physical and physiological barriers and their reinforcements prevent potential pathogens from gaining access inside the cell (Collinge, 2009; Uma et al., 2011). Plasma membrane-bound and intracellular immune receptors initiate defense responses upon the perception of pathogens either directly through physically interacting with pathogen-derived immunogens or indirectly by monitoring modifications of host targets incurred by pathogens (Jones and Dangl, 2006; Bentham et al., 2017; Zhang et al., 2017; Kourelis and van der Hoorn, 2018). Plant-derived antimicrobial peptides and other compounds can suppress pathogenicity by direct detoxification or through inhibition of the activity of virulence factors (Kitajima and Sato, 1999; Thomma et al., 2002; Ahuja et al., 2012). Plants also employ RNA interference (RNAi) to detect

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### ADVANCES

- Many aspects of plants' multi-layered defenses against microbial pathogens can be genetically engineered to enhance disease resistance.
- RNA interference (RNAi) has been exploited for anti-viral defense by transgenic expression of the plus or minus strand of the viral genome, inverted repeats or artificial microRNAs (amiRNAs).
- CRISPR-Cas is useful in engineering resistance against DNA and RNA viruses.
- Robust, broad-spectrum disease resistance can be achieved through resistance (*R*) gene stacking. Molecular stacking or targeted gene insertion allows multiple *R* genes to be clustered, thus simplifying downstream genetic segregation.
- Comparative genomics tools such as *R* gene enrichment sequencing (RenSeq) facilitate high-throughput screening of germplasms or mutants to rapidly identify candidate *R* genes recognizing core effectors.
- Susceptibility genes can be modified to reduce pathogenicity.

invading viral pathogens and target the viral RNA for cleavage (Rosa et al., 2018).

On the other side, successful pathogens have evolved strategies to overcome the defense responses of their plant hosts. For instance, many bacterial and fungal pathogens produce and release cell-wall-degrading enzymes (Kubicek et al., 2014). Pathogens can also deliver effectors into the host cytoplasm (Xin and He, 2013; Franceschetti et al., 2017); some of these effectors suppress host defenses and promote susceptibility. To counteract plant RNAi-based defenses, almost all plant viruses produce viral suppressors of RNAi (Zamore, 2004). Some viruses also hijack the host RNAi system to silence host genes to promote viral pathogenicity (Wang et al., 2012).

Some aspects of host-microbe interactions provide opportunities for genetic engineering for disease resistance (Dangl et al., 2013). For example, genes that encode proteins capable of breaking down mycotoxins (Karlovsky, 2011) or inhibiting the activity of cell-wall-degrading enzymes (Juge, 2006) can be introduced into plants. Plants can be engineered to synthesize and secrete antimicrobial peptides or compounds that directly inhibit colonization (Osusky et al., 2000). The RNAi machinery can be exploited to confer robust viral immunity by targeting viral RNA for degradation (Rosa et al., 2018). Natural or engineered immune receptors that recognize diverse strains of a pathogen can be introduced individually or in combination for robust, broad-spectrum disease resistance (Fuchs, 2017). Essential defense hub regulatory genes can be reprogrammed to fine-tune defense responses (Pieterse and Van Loon,

2004). Susceptible host targets can be modified to evade recognition and manipulation by pathogens (Li et al., 2012). Similarly, host decoy proteins, which serve to trap pathogens, can be modified through genetic engineering for altered specificity in pathogen recognition (Kim et al., 2016). For a more comprehensive overview of various aspects of engineered disease resistance in plants, the readers are referred to previously published review articles (Collinge et al., 2010; Cook et al., 2015).

Increased knowledge regarding the molecular mechanism underlying plant-pathogen interactions and advancements in biotechnology have provided new opportunities for engineering resistance to microbial pathogens in plants. In this review, we describe recent breakthroughs in genetic engineering in plants for enhanced disease resistance and highlight several strategies that have proven successful in field trials.

### HIGHLIGHTS OF RECENT BREAKTHROUGHS IN GENETIC ENGINEERING FOR DISEASE RESISTANCE IN PLANTS

#### Pathogen-Derived Resistance and RNAi

Researchers have long observed that transgenic plants expressing genes derived from viral pathogens often display immunity to the pathogen and its related strains (Lomonosoff, 1995). These results led to the hypothesis that ectopic expression of genes encoding wild-type or mutant viral proteins could interfere with the viral life cycle (Sanford and Johnston, 1985). More recent studies demonstrated that this immunity is mediated by RNAi, which plays a major role in antiviral defense in plants.

The detailed molecular mechanism of RNAi in antiviral defense has been described in several excellent reviews (Wang et al., 2012; Katoch and Thakur, 2013; Galvez et al., 2014). Activation of RNAi has proven to be an effective approach for engineering resistance to viruses (Lindbo and Dougherty, 2005; Lindbo and Falk, 2017) as they rely on the host cellular machinery to complete their life cycle. Most plant viruses contain single-stranded RNA as their genetic information. Double-stranded RNA (dsRNA) replicative intermediates often form during the replication of the viral genome mediated by RNA-dependent RNA polymerase, triggering RNAi in the host.

Transgenic overexpression of viral RNA often leads to the formation of dsRNA, which also triggers RNAi (Galvez et al., 2014). This process is often known as cosuppression (Box 1). By far, most existing cases of genetically engineered crops with resistance to viral pathogens that have been approved for cultivation and consumption were generated using this strategy (Table 1). Notably, transgenic squash (*Cucurbita* sp.) and papaya (*Carica papaya*) varieties created using this approach have been grown commercially in the United States for more than 20 years. Field data indicate that disease

**Table 1.** Events of genetically engineered food crops with enhanced disease resistance to microbial pathogens that have been approved for commercial production

Data collected from The International Service for the Acquisition of Agri-biotech Applications (ISAAA) and the U.S. Department of Agriculture-Animal and Plant Health Inspection Service (USDA-APHIS). MOA, Ministry of Agriculture, China; ARS, Agriculture Research Service; EMBRAPA, the Brazilian Agricultural Research Corporation; CTNBio, the Brazilian National Technical Commission on Biosafety.

Crop Species	Developer	Initial Approval	Target Pathogen	Gene(s) Expressed <sup>a</sup>	References
Squash	Seminis and Monsanto	1994 USDA	Watermelon mosaic virus 2 and zucchini yellow mosaic virus	Coat proteins	Tricoli et al., 1995
Squash	Seminis and Monsanto	1996 USDA	Cucumber mosaic virus, watermelon mosaic virus 2, and zucchini yellow mosaic virus	Coat proteins	Tricoli et al., 1995
Papaya	Cornell University and the University of Hawaii	1996 USDA	Papaya ringspot virus	Coat protein	Gonsalves, 1998, 2006
Potato	Monsanto	1998 USDA	Potato leafroll virus	Replicase and helicase	Thomas et al., 1997; Kaniewski and Thomas, 2004
Sweet Pepper	Beijing University	1998 MOA	Cucumber mosaic virus	Coat protein	Zhu et al., 1996
Potato	Monsanto	1999 USDA	Potato virus Y	Coat protein	Newell et al., 1991; Kaniewski and Thomas, 2004
Tomato	Beijing University	1999 MOA	Cucumber mosaic virus	Coat protein	Yang et al., 1995
Papaya	South China Agricultural University	2006 MOA	Papaya ringspot virus	Replicase	Ye and Li, 2010
Plum	USDA/ARS	2007 USDA	Plum pox virus	Coat protein	Scorza et al., 1994; Ilardi and Tavazza, 2015
Papaya	University of Florida	2009 USDA	Papaya ringspot virus	Coat protein	Davis and Ying, 2004
Bean	EMBRAPA	2011 CTNBio	Bean golden mosaic virus	+, – RNA of viral replication protein	Bonfim et al., 2007; Tollefson, 2011
Potato	J.R. Simplot	2015 USDA	<i>Phytophthora infestans</i> (late blight)	Resistance protein	Foster et al., 2009

<sup>a</sup>Only genes relevant to pathogen resistance are listed.

resistance achieved through RNAi-mediated strategies is highly durable (Fuchs and Gonsalves, 2007). The RNAi strategy has also been effective in generating squash varieties that are resistant to multiple viral species (Table 1). These varieties were produced by gene stacking (i.e. transgenic expression of two distinct RNAi constructs targeted to different viruses; Tricoli et al., 1995).

The knowledge that dsRNA effectively induces RNAi (Lindbo and Dougherty, 2005) inspired the design of transgenes encoding inverted repeat sequences, the transcripts of which form dsRNA (Box 1; Waterhouse et al., 1998). This strategy was used to develop a transgenic common bean variety exhibiting resistance to the DNA virus *Bean golden mosaic virus* (BGMV; Bonfim et al., 2007). BGMV contains single-stranded DNA as its genetic material, which is converted to dsDNA in the host, transcribed, and translated to produce the essential proteins required for its replication (Hanley-Bowdoin et al., 2013). To generate small interfering RNA targeting the viral transcripts, sense and antisense sequences of part of the BGMV replication gene *AC1* were directionally cloned into an intron-spliced

hairpin RNA expression cassette (Bonfim et al., 2007). The resulting genetically engineered bean exhibited strong and robust resistance in greenhouse conditions (Bonfim et al., 2007) as well as field conditions (Aragão and Faria, 2009). The BGMV-resistant bean was deregulated in 2011 in Brazil (Table 1), which allows farmers to grow the crop. It is to date the only example of a deregulated genetically engineered crop showing resistance to a DNA virus. Compared with the cosuppression strategy, transgenic expression of an artificially designed inverted repeat allows simultaneous generation of heterogenous small interfering RNAs targeting multiple transcripts in a relatively simple manner.

The discovery of microRNAs (miRNAs), a class of endogenous noncoding regulatory RNAs (Ambros, 2001; Reinhart et al., 2002) led to further refinements of genetic engineering for viral resistance. The miRNA machinery (Xie et al., 2015) has been exploited in engineering resistance to RNA viruses by replacing specific nucleotides in the miRNA-encoding genes to alter targeting specificity (Box 1). Such artificial miRNAs have been used for engineering resistance to a wide

### BOX 1. RNAi-based strategies for antiviral resistance

**Co-suppression:** Transgenic over-expression of genes encoding viral RNAs triggers RNAi resulting in the silencing of the viral RNAs.

**Inverted repeats:** Expression of a nucleotide sequence together with its reverse complement produces transcripts that are self-complementary. These transcripts are processed to form double-stranded RNA molecules, which trigger RNAi, leading to the silencing of the corresponding viral RNAs.

**Artificial microRNA (amiRNA):** A type of engineered RNA exhibiting anti-viral resistance. These molecules are engineered by replacing nucleotide sequences of an intrinsic plant microRNA with virus-specific sequences resulting in resistance against plant-infecting viruses.

range of plant viral pathogens including *Turnip mosaic virus* (Niu et al., 2006), *Cucumber mosaic virus* (Qu et al., 2007; Duan et al., 2008; Zhang et al., 2011), *Potato virus X*, and *Potato virus Y* (Ai et al., 2011). These results suggest that artificial-miRNA-based antiviral strategies are highly promising. Disease resistance engineered by this strategy awaits future field tests.

### Harnessing CRISPR-Cas to Target Viral Pathogens Directly

In recent years, the bacterially derived clustered regularly interspaced short palindromic repeats (CRISPR)-CRISPR-associated (Cas) technology has proven to be a promising approach for engineering resistance against plant viruses (Box 2; Wright et al., 2016). In many bacterial species, CRISPR-Cas acts as antiviral defense machinery. In this process, an RNA-guided nuclease (often a Cas protein) cleaves at specific target sites on the substrate viral DNA or RNA, leading to their degradation. The specificity of the cleavage is governed by base complementarity between the CRISPR RNA and the target DNA or RNA molecules. A number of Cas proteins with sequence-specific nuclease activity have been identified (Wu et al., 2018). For example, the RNA-guided endonuclease Cas9 from *Streptococcus pyogenes* (SpCas9) induces double-stranded breaks in DNA in vivo (Jinek et al., 2012) and the RNA-guided RNases Cas13a from *Leptotrichia shahii* (LshCas13a) or *Leptotrichia wadei* (LwaCas13a) target RNA in vivo (Abudayyeh et al., 2017; Cox et al., 2017). Cas9 from *Francisella novicida* (FnCas9) cleaves both DNA and RNA in vivo (Price et al., 2015).

The ability of CRISPR-Cas to act like molecular scissors, creating breaks at sequence-specific targets in the substrate DNA or RNA molecules makes it an excellent candidate tool for engineering for antiviral defense in plants. CRISPR-Cas platforms based on Cas9 or Cas13a have been successfully harnessed to engineer resistance to DNA viruses or RNA viruses in planta (Fig. 1).

The *Tomato yellow leaf curl virus* (TYLCV) belongs to *Geminiviridae*, a major family of plant viruses with single-stranded circular DNA genomes (Dry et al., 1993). The viral genome forms double-stranded intermediates during replication inside the host cell nuclei. Overexpression of *SpCas9* and artificially designed guide RNAs targeting various regions of TYLCV conferred resistance to the virus in *Nicotiana benthamiana* and tomato (*Solanum lycopersicum*; Ali et al., 2015; Mahfouz et al., 2017). One potential caveat of this approach is that alterations to the viral DNA sequence may occur near the cleavage target due to DNA repair within the host cell. These mutations could shield the viral DNA from recognition by the guide RNA. Among all the guide RNAs used against TYLCV, the ones targeting the stem-loop sequence within the replication of origin were the most effective, possibly because of the reduced occurrence of viable escapee variants of the virus with mutations in this region (Ali et al., 2015, 2016). A separate lab study demonstrated that overexpression of *SpCas9* and guide RNAs in *N. benthamiana* and *Arabidopsis* (*Arabidopsis thaliana*) conferred resistance to the geminivirus family member *Beet severe curly top virus* (Ji et al., 2015).

CRISPR-Cas has also been used to engineer resistance to RNA viruses, which comprise most known plant viral pathogens (Mahas and Mahfouz, 2018). For example, stable expression of the RNA-targeting nuclease Cas13a and the corresponding guide RNA in *N. benthamiana* conferred resistance to the RNA virus *Turnip mosaic virus* (Aman et al., 2018). Using Cas13a to target viral RNA substrates does not induce DNA breakage and thus would not introduce undesired off-target mutations to the host genome (Abudayyeh et al., 2017). Similarly, FnCas9 has been used to engineer resistance against RNA viruses *Cucumber mosaic virus* and *Tobacco mosaic virus* in *N. benthamiana* and *Arabidopsis* (Zhang et al., 2018).

Although a field test of CRISPR-Cas-based antiviral resistance on crop species has not been reported, the laboratory studies have demonstrated its potential as an antiviral tool. To ensure durable resistance, it is important to consider potential viral evasions from the surveillance by the specific guide RNA used. Choosing genomic targets essential for the replication or movement of the viral pathogen minimizes viral evasions (Ali et al., 2016). Multiplexing the guide RNAs can also improve the robustness. In addition, it has been hypothesized that the use of Cas12a (also known as Cpf1) may reduce the occurrence of escapee viral variants because mutations caused by CRISPR-Cas12a are less likely to abolish the recognition of the target by the original guide RNA (Ali et al., 2016). Future efforts on

## BOX 2. CRISPR-Cas genome editing

The Clustered Regularly Interspaced Short Palindromic Repeats (CRISPR) - CRISPR-associated (Cas) is a widely-used genome editing platform. CRISPR-Cas originates from a prokaryotic acquired immune system against viruses. The CRISPR-Cas genome editing platform consists of a sequence-specific endonuclease such as *Streptococcus pyogenes* Cas9 (SpCas9), and RNA molecules that determine the target specificity of the nucleases, often known as guide RNAs (Jinek et al., 2012). SpCas9 recognizes and cuts at DNA targets complementary to the variable region on the guide RNA with an adjacent protospacer adjacent motif (PAM) (Jinek et al., 2012). The variable region of guide RNA can thus be programmed to direct Cas9 to generate double-stranded breaks at specific targets in a given genome (Jinek et al., 2012). Subsequent cellular DNA repair processes often introduce modifications at the DNA breakpoints.

Repair through non-homologous end-joining (NHEJ) frequently leads to small insertions or deletions, often creating knocking-out alleles. Homology-directed repair (HDR) enables gene replacements or precise gene insertions at the DNA breakpoint when an appropriate repair template is supplied (Rinaldo and Ayliffe, 2015). Besides SpCas9, other Cas family nucleases that require different PAM sequences have been identified or developed (Wu et al., 2018). Some Cas nucleases recognize RNA as their substrates (Abudayyeh et al., 2017; Cox et al., 2017; Price et al., 2015). These expand the range of targets that can be edited by CRISPR-Cas. Furthermore, fusing the nuclease-deactivated Cas9 (dCas9) with diverse proteins allows additional applications at specific genomic regions, such as epigenetic modification and transcriptional activation or repression.

improving CRISPR-Cas for antiviral resistance may also be focused on establishing an in planta adaptive immunity by exploiting the spacer acquisition machinery in the CRISPR-Cas adaptive immune system in prokaryotes (McGinn and Marraffini, 2019).

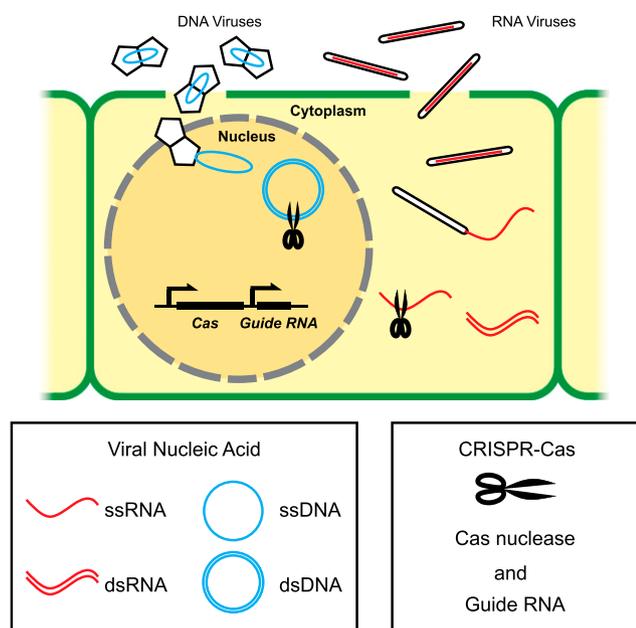
### Deploying Resistance Genes for Broad-Spectrum and Durable Resistance

Pioneering genetic studies in plant-pathogen interaction using flax and flax rust fungus by Flor in the early 1940s (Flor, 1956) established the classic “gene-for-gene” theory, which states that the outcome of any given plant-pathogen interaction is largely determined by a resistance (*R*) locus from the host and the matching avirulence factor (*avr*) from the pathogen (Flor, 1971). When both the *R* gene in the plant host and the cognate *avr* in the pathogen are present, the plant-pathogen interaction is incompatible and the host exhibits full resistance to the pathogen (Flor, 1971). The effectiveness of *R* gene-mediated resistance was first demonstrated by British scientist Rowland Biffen in wheat (*Triticum* sp.) breeding in the early twentieth century (Biffen, 1905).

Since that time, numerous *R* genes have been cloned and introduced into varieties of the same species (De Wit et al., 1985), across species boundaries (Song et al., 1995) and across genera (Tai et al., 1999). For example, the introduction of the maize (*Zea mays*) *R* gene *Rxo1* into rice (*Oryza sativa*) conferred resistance to the

bacterial streak pathogen *Xanthomonas oryzae* pv. *oryzicola* under lab conditions (Zhao et al., 2005). In tomato, multiyear field trials under commercial type growth conditions have demonstrated that tomatoes expressing the pepper *Bs2* *R* gene confer robust resistance to *Xanthomonas* sp. causing the bacterial spot disease (Horvath et al., 2015; Kunwar et al., 2018). Wheat transgenically expressing various alleles of the wheat resistance locus *Pm3* exhibited race-specific resistance to powdery mildew in the field (Brunner et al., 2012). Potatoes transgenically expressing wild potato *R* gene *RB* or *Rpi-vnt1.1* display strong field resistance to *Phytophthora infestans*, the causal agent of potato late blight (Haltermann et al., 2008; Bradeen et al., 2009; Foster et al., 2009; Jones et al., 2014). Notably, transgenic potato expressing *Rpi-vnt1.1* developed by J.R. Simplot is to date the only case of a genetically engineered crop with enhanced resistance to a nonviral pathogen that has been approved for commercial use (Table 1).

Successful pathogens often evade detection by host *R* genes (Jones and Dangl, 2006). Thus, disease resistance conferred by a single *R* gene often lacks durability in the field because pathogens can evolve to evade recognition by mutating the corresponding *avr* gene. For improved durability and to broaden the resistance spectrum, multiple *R* genes are often introduced simultaneously, which is commonly known as stacking (Li et al., 2001; Fuchs, 2017; Mundt, 2018). Resistance conferred by stacked *R* genes is predicted to be long lasting, as the evolution of a pathogen strain that could overcome resistance conferred by multiple *R* genes



**Figure 1.** CRISPR-Cas platforms for engineering viral resistance in plants. Plant viruses complete their life cycles in the host cells. Viral particles with geminate capsids, and rod-shaped capsids are used as examples to illustrate DNA viruses and RNA viruses, respectively. One of the *Cas* nuclease genes (*SpCas9*, *FnCas9*, or *LshCas13a*) and the matching guide RNA(s) are expressed transgenically to generate sequence-specific ribonucleoproteins that target viral DNA or RNA substrates for degradation. *SpCas9* targets nuclear dsDNA, while *FnCas9* and *LshCas13a* have been used to target single-stranded RNA (ssRNA) substrates in the cytoplasm. For simplicity, only one generic *Cas* nuclease is drawn. ssDNA, single-stranded DNA.

simultaneously is a low occurrence event. One approach to stack *R* genes is by cross breeding preexisting *R* loci (Fig. 2). Breeders can then use marker-assisted selection to identify the progeny with the desired *R* gene composition (Das and Rao, 2015). For example, bacterial blight in rice, caused by the bacterial pathogen *Xanthomonas oryzae* pv. *oryzae* (*Xoo*), is a serious disease in much of Asia and parts of Africa (Niño-Liu et al., 2006). Through cross breeding and marker-assisted selection, three *R* genes that confer resistance to bacterial blight in rice, *Xa21*, *Xa5*, and *Xa13* were introduced into a deep-water rice cultivar called Jalmagna (Pradhan et al., 2015). The resulting line with the stacked *R* genes exhibited a higher level of field resistance to eight *Xoo* isolates tested (Pradhan et al., 2015). Although marker-assisted selection has largely improved the efficiency of the selection process, combining multiple loci through this approach can still be highly time consuming.

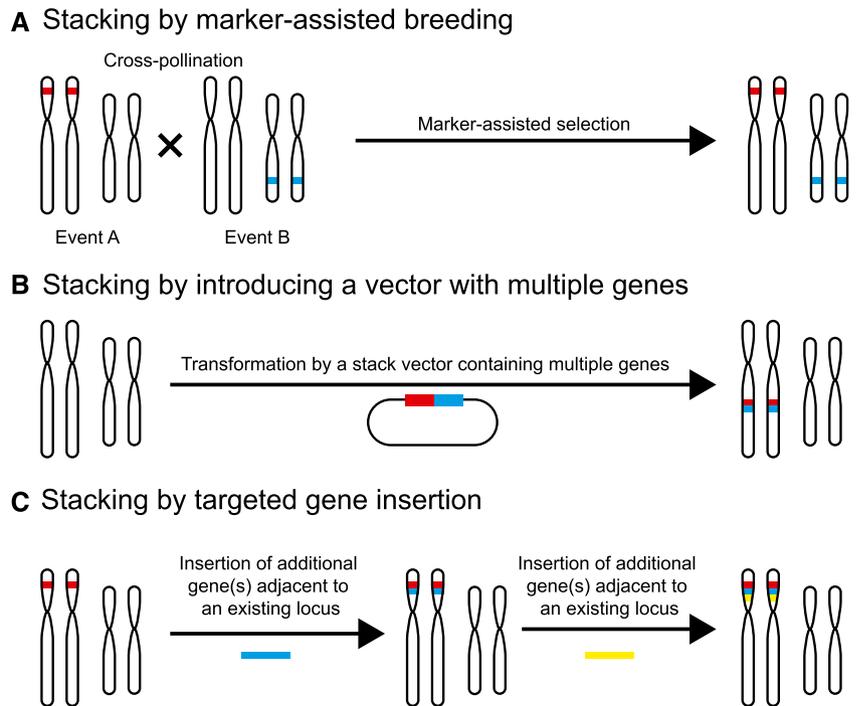
As an alternative to gene stacking through marker-assisted selection, scientists can assemble multiple *R* gene cassettes onto one plasmid and then introduce this *R* gene cluster en bloc at a single genetic locus through plant transformation (Fig. 2; Dafny-Yelin and Tzfira, 2007; Que et al., 2010). This approach, called molecular stacking, simplifies the selection process, as all

the *R* genes introduced this way are inherited as a single genetic locus. As an example of molecular stacking, Zhu et al. (2012) introduced molecular stacking of three broad-spectrum potato late blight *R* genes *Rpi-sto1*, *Rpi-vnt1.1*, and *Rpi-blb3* through *Agrobacterium*-based transformation of a susceptible potato cultivar. The resulting triple gene transformants displayed broad-spectrum resistance equivalent to the sum of the strain-specific resistance conferred by all three individual *Rpi* genes under greenhouse conditions (Zhu et al., 2012). In a related study, a single DNA fragment harboring *Rpi-vnt1.1* and *Rpi-sto1* was introduced into three different potato varieties through *Agrobacterium*-mediated transformation (Jo et al., 2014). The *R* gene-stacked lines showed broad-spectrum late blight resistance because of the introduction of both *R* genes (Jo et al., 2014). Notably, no foreign DNA such as a selectable marker gene was included in the inserted DNA fragment in the double gene study (Jo et al., 2014), which may reduce the number of regulatory approvals needed for the resulting products.

Resistance to the late blight pathogen in both the double gene-stacked and the triple gene-stacked potatoes mentioned above was confirmed under field conditions (Haverkort et al., 2016). It is estimated that proper spatial and temporal deployment of late blight *R* gene stacks in potatoes can reduce the amount of fungicide used on this crop by over 80% (Haverkort et al., 2016). Similarly, in a field study of two African highland potato varieties in Uganda, Ghislain et al. (2018) reported that significant field resistance to the late blight pathogen could be achieved by the molecular stacking of three *R* genes (*RB*, *Rpi-blb2*, and *Rpi-vnt1.1*). The yields of these *R* gene stacked potato varieties were three times higher than the national average. These results demonstrate that resistance achieved by this strategy does not negatively affect yield (Ghislain et al., 2018). The above studies show the simplicity and effectiveness of molecular stacking for engineering broad-spectrum disease resistance, especially in vegetatively propagated crop species, where breeding stacks are not practical.

Despite the advantages of molecular stacking, the number of genes that can be introduced through molecular stacking is often restrained by the limit in the length of the DNA insert that can be put into a vector (Que et al., 2010). This limitation can be overcome if DNA fragments can be sequentially inserted at the same genomic target (Fig. 2). Recent breakthroughs in genome-editing technologies in plants enable such targeted insertion of DNA fragments in diverse crop species (Puchta and Fauser, 2013; Rinaldo and Ayliffe, 2015; Kumar et al., 2016; Voytas, 2017). This technology allows multiple *R* gene cassettes to be inserted at a single locus in multiple rounds (Ainley et al., 2013). As genome-editing platforms in plants continue to be improved, the efficiency of targeted insertion, the size limit of the DNA inserts, and the number of applicable plant species are increasing. Future advancements in targeted gene insertion would offer new opportunities

**Figure 2.** Methods of *R* gene stacking. A, Stacking by marker-assisted breeding is performed by cross pollinating individuals with existing trait loci followed by marker-assisted selection for progeny with combined trait loci. B, Stacking can be completed by combining multiple genes into a single stack vector and introducing them together as a single transgenic event. C, Stacking by targeted insertion aims at placing new gene(s) adjacent to an existing locus. This process can be performed iteratively to stack large numbers of genes. In B and C, the stacked genes are genetically linked and thus can be easily introduced into a different genetic background as a single locus through breeding.



for stacking of large numbers of *R* genes and engineered viral resistance at a single locus for broad-spectrum, durable disease resistance and convenience in breeding.

### Enriching the Known Repertoire of Immune Receptors

Plants possess immune receptors that perceive pathogens and trigger cellular defense responses. Discovery of novel immune receptors recognizing major virulence factors will enrich the repertoire of known immune receptor genes that may be deployed in the field. The nucleotide-binding leucine-rich repeats (NLR) family proteins comprise a major category of intracellular immune receptors conserved across the plant and animal kingdoms (Maekawa et al., 2011; Li et al., 2015; Bentham et al., 2017; Zhang et al., 2017). A distinct hallmark for NLR-mediated defense is the onset of localized programmed cell death known as the hypersensitive response, which plays a crucial role in restricting the movement of pathogens (del Pozo and Lam, 1998; Pontier et al., 1998). The hypersensitive response is often used as a marker to screen diverse plant germplasm for novel functional NLRs recognizing known effectors (Vleeshouwers and Oliver, 2014). During the screening, core virulence factors are delivered into plant leaves either as transiently expressed genes through Agro-infiltration (Du et al., 2014) or as genes expressed in laboratory bacterial strains with a functional secretion system (Zhang and Coaker, 2017).

Once a collection of germplasm exhibiting various degrees of resistance to a particular pathogen strain is identified, comparative genomics tools such as resistance gene enrichment sequencing (RenSeq; Jupe et al.,

2013, 2014) can be applied to identify genomic variants in *NLR* genes that are linked to disease phenotypes (Box 3). This leads to the cloning of new *NLR* genes and their potential deployment in crop protection through genetic engineering. For example, RenSeq was successfully applied in the accelerated identification and cloning of an anti-*P. infestans* *NLR* gene *Rpi-amr3i* from *Solanum americanum*, a wild potato relative (Witek et al., 2016). Transgenic expression of *Rpi-amr3i* in potato conferred full resistance to *P. infestans* in greenhouse conditions (Witek et al., 2016). In a more recent study, Chen et al. described the rapid mapping of a newly identified anti-potato-late-blight *NLR* gene *Rpi-ver1* by RenSeq in the wild potato species *Solanum verrucosum* (Chen et al., 2018).

In addition to its application in potato research, RenSeq has also been employed in the cloning of wheat *NLR* resistance genes against the stem rust fungus *Puccinia graminis* f. sp. *tritici*. As an example, Arora et al. (2019) used RenSeq to survey accessions of a wild progenitor species of bread wheat, *Aegilops tauschii* ssp. *strangulata* for trait-linked. This led to the rapid cloning of four stem rust (*Sr*) resistance genes (Arora et al., 2019). In a related study, Steuernagel et al. (2016) applied RenSeq to a mutagenized hexaploid bread wheat population to identify mutations disrupting resistance to the stem rust fungus. The study revealed the identity of two stem rust *NLR* genes, *Sr22* and *Sr45*, which confer resistance to commercially important races of the stem rust pathogen (Steuernagel et al., 2016). Although future field experiments are required to evaluate the potential of the deployment of these newly identified *NLR* genes, the above lab studies demonstrate that RenSeq is a powerful tool to rapidly identify novel *NLR* genes.

### BOX 3. Resistance gene enrichment sequencing (RenSeq)

RenSeq is a comparative genomics tool used to identify nucleotide-binding leucine-rich repeats (NLR) gene family members that play a role in disease resistance. During RenSeq, a library of NLR gene-specific DNA fragments are used as baits to capture and enrich for genomic DNA fragments encoding NLRs from resistant germplasms (Jupe et al., 2014). The enriched NLR-encoding DNA is sequenced and compared with the reference genome to identify the polymorphisms in the NLR genes that potentially account for the observed disease resistance in the resistant germplasm of interest (Jupe et al., 2013).

In addition to the identification of useful immune receptors from diverse plant germplasm, researchers have attempted to engineer known immune receptors for new ligand specificity. For example, the fusion of the ectodomain of the Arabidopsis pattern recognition receptor EF-Tu receptor (EFR) to the intracellular domain of the phylogenetically related rice receptor Xa21 yielded a functional chimeric receptor in both rice and Arabidopsis (Holton et al., 2015; Schwessinger et al., 2015). This receptor triggers defense markers when transgenic tissues are treated with *elf18*, the ligand of EFR, although whole-plant resistance to the microbe was weak or undetectable (Holton et al., 2015; Schwessinger et al., 2015). Conversely, expression of a fusion receptor consisting of the ectodomain of Xa21 and the cytoplasmic domain of EFR in rice conferred robust resistance to *Xoo* expressing the cognate ligand of Xa21 (Thomas et al., 2018). Two related studies reported that chimeric receptors generated by fusing the rice chitin-binding protein Chitin Elicitor-Binding Protein and the intracellular protein kinase domain of the rice receptor-like kinase Xa21 or Pi-d2 confers disease resistance to the rice blast fungus (Kishimoto et al., 2010; Kouzai et al., 2013). Although these studies have not been advanced to field trials, they demonstrate that domain swapping among immune receptors may be an attractive approach in engineering broadened recognition specificity.

NLRs often perceive pathogens indirectly by monitoring the modification of host target proteins by pathogen-derived virulence factors (Jones and Dangl, 2006). Some of these host target proteins have evolved

to serve as decoys that are targeted by virulence factors (van der Hoorn and Kamoun, 2008). For example, the NLR protein RESISTANCE TO PSEUDOMONAS SYRINGAE 5 (RPS5), activates defense responses in Arabidopsis (Simonich and Innes, 1995). Defense is triggered when the plant decoy protein PBS1, a kinase, is cleaved by the protease AvrPphB secreted by the bacterial pathogen *Pseudomonas syringae* into the plant cell (Ade et al., 2007). Kim et al. (2016) altered the protease cleavage site in PBS1 to expand the resistance spectrum of RPS5 so that it could recognize other pathogens. For example, they found that they could remove the cleavage site of PBS1 that is recognized by the AvrPphB protease and replace it with cleavage site variants recognized by other pathogen proteases such as the AvrRpt2 protease from *Pseudomonas syringae*, or the Nla protease from *Turnip mosaic virus* (Kim et al., 2016). The engineered forms of PBS1 are cleaved in vivo by these proteases, which activates RPS5 defense in response to the corresponding pathogen strains (Kim et al., 2016). These successful examples make decoy modification an attractive approach to engineer resistance to new pathogens (Kourelis et al., 2016).

Altered specificity and activity of immune receptors can also be achieved by directed molecular evolution (Lassner and Bedbrook, 2001). During this process, immune receptor genes are subjected to mutagenesis to generate multiple variants, which are screened for their immune functions. The process can be performed iteratively for continuous improvement in the activity of the gene product. Two pioneering studies demonstrated the potential value of directed molecular evolution in expanding the pool of available immune receptor genes. In a search for gain-of-function mutants of the potato late blight NLR gene *R3a*, Segretin et al. (2014) screened a library of *R3a* variants generated through PCR-based mutagenesis and identified *R3a* mutants recognizing additional Avr3a isoforms from *P. infestans* and the related blight pathogen *Phytophthora capsici*. In a follow-up study, the newly identified mutation in *R3a* was transferred into its tomato ortholog *I2* and expanded the recognition spectrum of the NLR encoded when transiently expressed in *N. benthamiana* (Giannakopoulou et al., 2015).

Recently, a CRISPR-based mutagenesis platform known as EvolvR was developed for the directed evolution of a precisely defined window of genomic DNA in vivo (Halperin et al., 2018; Sadanand, 2018). EvolvR employs the mutagenesis activity of a fusion of the Cas9 nickase and an error-prone DNA polymerase to introduce nucleotide diversification at specific genomic regions defined by guide RNAs (Halperin et al., 2018). For example, EvolvR may potentially be used to develop novel alleles of the rice immune receptor Xa21 with the ability to recognize a broader array of *Xoo* strains, which secrete ligand variants (Pruitt et al., 2015; Luu et al., 2019). Variants of Xa21 receptors carrying amino acid substitutions predicted to alter ligand specificity can be screened for and introduced into susceptible rice cultivars. Future development of high-throughput

functional screening methods to quickly identify gene variants conferring resistance phenotypes would broaden the application of directed molecular evolution for enhanced disease resistance in plants.

### Modifying Susceptibility Genes to Attenuate Pathogenicity

Many plant pathogens hijack host genes to facilitate the infection process. These targeted host genes are often referred to as susceptibility genes (van Schie and Takken, 2014). The modification or removal of host susceptibility genes may, therefore, be an effective strategy to achieve resistance by preventing their manipulation by the pathogens. RNAi and genome-editing platforms (Box 2) enable efficient modification of endogenous susceptibility genes in a relatively simple manner (Rinaldo and Ayliffe, 2015).

The highly conserved eukaryotic translation initiation factor 4E (*eIF4E*) in many plant species is manipulated by a number of plant viruses to facilitate the viral infection process (Robaglia and Caranta, 2006). Naturally occurring recessive alleles of *eIF4E* confer viral resistance due to abolished protein-protein interaction with a specialized viral virulence protein (Cavatorta et al., 2008). Based on this knowledge, Cavatorta et al. (2011) demonstrated that transgenic overexpression of a site-directed-mutagenized viral-resistant allele of the *eIF4E* conferred resistance to *Potato virus Y* in potato. In a more recent study, Chandrasekaran et al. (2016) knocked out the *eIF4E* gene in cucumber using CRISPR-Cas and obtained homozygous mutant plants that are resistant to a variety of viral pathogens under greenhouse conditions.

The *SWEET* family genes encode cross-membrane sugar transporters, many of which are exploited by plant pathogens for virulence (Chen et al., 2010; Streubel et al., 2013). Often, when *SWEET* genes are activated, more sugar is transported outside of the cell and made available to the bacteria (Chen et al., 2010, 2012). For example, the promoters of rice *SWEET11* and *SWEET14* are targets of various transcriptional activator-like (TAL) effectors from *Xoo* (Yang et al., 2006; Antony et al., 2010). Li et al. (2012) used a TAL effector-like nuclease to mutate predicted TAL effector-binding sites within the *SWEET14* promoter (Li et al., 2012). The resulting rice exhibits enhanced resistance to at least two strains of *Xoo* due to the lack of induction of *SWEET14* by the pathogens. In a follow-up study, Zhou et al. (2014) successfully generated rice lines carrying mutations in the promoter of *SWEET11* using CRISPR-Cas. Mutations within the *SWEET14* promoter in rice protoplasts by CRISPR-Cas have also been demonstrated (Jiang et al., 2013). In addition to the genome-editing approach, RNAi has been employed to knock down *SWEET11* in rice for enhanced resistance to an *Xoo* strain carrying the cognate TAL effector (Yang et al., 2006).

The disease susceptibility gene for citrus bacterial canker disease, *Citrus sinensis* *Lateral Organ Boundary1*

### OUTSTANDING QUESTIONS

- What hub genes can be over-expressed to confer broad-spectrum resistance without compromising yield?
- What residues/motifs determine the specificity of recognition by NLR proteins?
- How can scientists best perform high-throughput screens to quickly identify novel resistance genes?
- Which genomic regions can transgenes be inserted and expressed in without incurring yield penalty (genomic safe harbors)?
- How can researchers reduce off-target mutations caused by genome editing reagents such as CRISPR-Cas?
- How can researchers deliver the genome editing reagents into elite crop varieties and regenerate plants at high efficiency?
- What is the most efficient approach to sort promising strategies and advance them to field trials?
- How can we effectively regulate genetically engineered crops without compromising innovative research?

(*CsLOB1*) encodes a transcription factor that regulates plant growth and development (Hu et al., 2014). Deletion of the effector binding element within the promoter of the susceptibility gene *CsLOB1* by CRISPR-Cas conferred resistance to the bacterial canker pathogen *Xanthomonas citri* spp. *citri* (*Xcc*) in orange (*Citrus sinensis*; Peng et al., 2017). Similarly, disrupting the coding region of *CsLOB1* by CRISPR-Cas in grapefruit (*Citrus paradisi*) resulted in enhanced resistance to *Xcc* (Jia et al., 2017). In both studies, the plants were raised in controlled environmental conditions. The effectiveness of resistance gained through mutating *CsLOB1* in citrus plants awaits further evaluation by field experiments.

Susceptibility genes are often conserved among plant species (Huibers et al., 2013). Therefore, knowledge of susceptibility genes in one plant species can facilitate the discovery of new susceptibility genes in another plant species. The Arabidopsis susceptibility gene *DOWNY MILDEW RESISTANT6* (*DMR6*) encodes an oxygenase, and its expression is up-regulated during pathogen infection (Van Damme et al., 2005; van Damme et al., 2008; Zeilmaker et al., 2015). Through phylogeny and gene expression analysis, Thomazella et al. (2016) identified a single *DMR6* ortholog in tomato (*SIDMR6-1*). Knocking out *SIDMR6-1* in tomato using CRISPR-Cas led to increased resistance to the bacterial pathogen *P. syringae* under greenhouse conditions (Thomazella et al., 2016). In another study, Sun et al. (2016) selected 11 Arabidopsis genes that had been previously shown to correlate with susceptibility to one or more of six common plant pathogens. In a search for

gene products with similar amino acid sequences within the potato genome, they identified 11 candidate susceptibility genes in potato (Sun et al., 2016). Silencing of six of these candidate genes individually in potato using RNAi conferred enhanced or complete resistance to the late blight pathogen in controlled environmental conditions (Sun et al., 2016). These studies show that advancements in Arabidopsis biology and identification of an increasing number of susceptibility genes have enabled the discovery of additional susceptibility genes in a large variety of crop species.

Modifying susceptibility genes to thwart virulence strategies of pathogens holds great potential in crop protection. Because a given allele that confers susceptibility to one pathogen may confer resistance to another or have other essential biological functions (Lorang et al., 2012; McGrann et al., 2014), it is important to evaluate the potential side-effects of modifying susceptibility genes. It remains to be determined whether the observed resistance in the instances discussed in the laboratory studies is robust or durable under field conditions or if the plants perform well in the field.

## CONCLUSION

Since the first report of a genetically engineered crop conferring resistance to disease in 1986, a virus-resistant tobacco expressing a viral coat protein gene (Abel et al., 1986), there have been tremendous breakthroughs both in labs and in the field. In terms of successful field applications, antiviral resistance has had a clear head start with most of the commercialized genetically engineered crops for disease resistance thus far falling into this category. However, with new technologies that enable rapid identification of novel immune receptor genes, such as RenSeq and directed molecular evolution, the pool of deployable genes for enhanced resistance to other microbes has expanded substantially. In parallel, advancements in molecular stacking and targeted gene insertion through genome editing are expected to play a major role in generating broad-spectrum resistance against both viral and nonviral pathogens in the near future. Furthermore, the increasingly versatile, accurate, and efficient genome-editing tools such as CRISPR-Cas enable accurate modification of endogenous genes for disease resistance, including, but not limited to, susceptibility and the decoy genes.

In the Outstanding Questions Box, we present some examples of challenges faced in genetic engineering for resistance. Answers to these questions will fill important knowledge gaps and can be used to develop new strategies for engineering plant disease resistance.

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